



PATENT APPLICATION
Docket No.: 11502/15:1 US
(13861.21.2)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Blatter et al.

Serial No.: 09/736,937

Filed: December 14, 2000

For: **LOCKING COMPRESSION PLATE APPARATUS**

Examiner: William B. Lewis

Art Unit
3731

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R § 1.97

TO THE COMMISSIONER FOR PATENTS:

Pursuant to his (her) (their) duty of disclosure, applicant(s) enclose(s) copies (a copy) of the document(s) listed on the accompanying Form PTO-1449.

1. This information disclosure statement is being submitted:
- a. ☐ Within three months of the filing date of the above-identified application or within three months of the date of entry of the national stage, or before the mailing date of the first Office action on the merits, whichever event occurs last. (No statement under 37 CFR 1.97(e) is required.)
- b. ☒ After the period set forth in paragraph 1a, but before the mailing date of either a final action or a notice of allowance. (Check box i. or ii.)
- i. ☐ A \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed.
- ii. ☒ A statement specified by 37 CFR 1.97(e) is set forth below.

c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of an issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. A petition requesting consideration of the information disclosure statement and the \$130.00 petition fee set forth in 37 CFR 1.17(i) are enclosed.

d. ☐ Concurrent with the filing of a Request for Continued Examination

2. ☐ The attorney or agent signing below hereby states that:

a. ☐ each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

b. ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

3. ☐ Applicant(s) set forth below concise explanations of the relevance of each document not in the English language and/or selected document(s) in the English language.

DATED this 26TH day of AUGUST, 2002.

Respectfully submitted,



Kevin B. Laurence
Registration No. 38,219

STOEL RIVES LLP



32642

PATENT TRADEMARK OFFICE

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11502/15:1 US (13861.21.2)

Re Application Of: **Blatter et al.**

Serial No.

09/736,937

Filing Date

December 14, 2000

Examiner

William W. Lewis

Group Art Unit

3731

Title: **LOCKING COMPRESSION PLATE APPARATUS**

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Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:



- ☒ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

8-27-02

GP3731

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Blatter et al.		Docket No. 11502/15:1 US (13861:21.2)	
Serial No. AUG 26 2002 09/736,93	Filing Date December 14, 2000	Examiner William W. Lewis	Group Art Unit 3731
Invention: LOCKING COMPRESSION PLATE APPARATUS			
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TECHNOLOGY CENTER R3700			
I hereby certify that this <u>Supplemental Information Disclosure Statement (including the items listed below)</u> <i>(Identify type of correspondence)</i>			
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under			
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.			
20231-0001 on <u>AUGUST 26, 2002</u> <i>(Date)</i>			
Kevin B. Laurence <i>(Typed or Printed Name of Person Mailing Correspondence)</i>			
 <i>(Signature of Person Mailing Correspondence)</i>			
EV140281253US <i>("Express Mail" Mailing Label Number)</i>			
 32642 PATENT TRADEMARK OFFICE			
Note: Each paper must have its own certificate of mailing.			
Transmitted:	Supplemental Information Disclosure Statement (2 pgs.) Statement Under 37 CFR 1.97(e) (1 pg.) PTO-Form 1449 listing one (1) references (1 pg.) Copies of one (1) reference Transmittal for Information Disclosure Statement (2 pgs.) Certificate of Mailing by Express Mail Label No. EV140281240US Postcard		

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
11502/15:1 US (13861.21.2)

In Re Application Of: Blatter et al.

Serial No.
09/736,937

Filing Date
December 14, 2000

Examiner
William W. Lewis

Group Art Unit
3731

Invention: **LOCKING COMPRESSION PLATE APPARATUS**

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TECHNOLOGY CENTER R3700

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.


Signature

Kevin B. Laurence
Registration No. 38,219
STOEL RIVES LLP

Dated: **AUGUST 26, 2002**



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PATENT TRADEMARK OFFICE

I certify that this document is being deposited on
with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11502/15:1 US (13861.22.1)

In Re Application Of: **Blatter et al.**

Serial No.
09/736,937

Filing Date
December 14, 2000

Examiner
William W. Lewis

Group Art Unit
3731

Title: **LOCKING COMPRESSION PLATE APPARATUS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-2375** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

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I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

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*This certificate may only be used if paying by deposit account.

Kevin B. Laurence
Signature

Dated: AUGUST 26, 2002

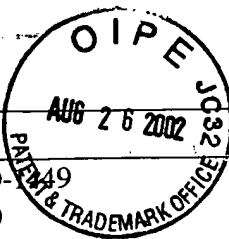
Kevin B. Laurence
Registration No. 38,219
STOEL RIVES LLP



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CC:



Sheet 1 of 1

FORM PTO-149
(REV. 7-80)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
11502/15:1 (13861.21.2)

APPLICATION NO.
09/736,937

INFORMATION DISCLOSURE CITATION
(Uses several sheets if necessary)

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APPLICANT - Blatter et al.

FILING DATE-
December 14, 2000

ART GROUP
3731

U.S. PATENT DOCUMENTS

TECHNOLOGY CENTER EC700

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	INT.L CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	6,190,396	02/20/01	Whitin et al.	A61B	17/04	09/14/99

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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